10-13-04

OCT 1 2 2004

PTO/SB/21 (02-04)
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o a collection of information unless it displays a valid OMB control number. aperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 10/015,868 TRANSMITTAL Filing Date December 12, 2001 **FORM** First Named Inventor Michael D. Hooven Art Unit 3739 (to be used for all correspondence after initial filing) **Examiner Name** Rosiland S. Rollins Attorney, Docket Number 0320-0017 (HOOV 117) Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication Drawing(s) Fee Transmittal Form to Group Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to Group Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a **Proprietary Information** After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Terminal Disclaimer Identify below): Extension of Time Request - PTO/SB/08A (1 sheet) Request for Refund **Express Abandonment Request** 1 Patent Publication Certificate of Mailing CD, Number of CD(s) Information Disclosure Statement - Return Receipt Postcard Remarks **Certified Copy of Priority** Document(s) By U.S. Postal Service Express Mail Response to Missing Parts/ Label No. EL 613567754 US Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Cook, Alex, McFarron, Manzo, Cummings, and Mehler, Ltd. Renee Barthel, Registration No. 48,356 Individual name Signature Date October 12, 2004

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as that Plass Shail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Kathleen Johnson		
Signature	Kathler Brigger	Date	October 12, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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ADEMARY THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:	
Michael D. Hooven	CERTIFICATE OF MAILING BY "EXPRESS MAIL" "Express Mail" Mailing Label No.:
Serial No.: 10/015,868	Date of Deposit October 12, 2004
Filed: December 12, 2001	I hereby certify that this paper or fee is being deposited with the United States Postal Service
Group Art No.: 3739	"Express Mail Post Office Box Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Mail Stop Amendment, Commissioner
Examiner: Rosiland S. Rollins	for Patents, P.O. Box 1450, Alexandria, VA 22313- 1450
For: TRANSMURAL ABLATION DEVICE WITH THIN ELECTRODES) SIGNATURE HALLING MADON

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Certificate of Mailing Under 37 CFR 1.10

I hereby certify that the correspondence listed below is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service, Label No. EL 613567754 US under 37 CFR 1.10 on October 12, 2004 and is addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450:

- 1. Transmittal Form PTO/SB/21 (1 sheet);
- 2. Information Disclosure Statement (3 sheets);
- 3. PTO/SB/08A (1 sheet);
- 4. U.S. Patent Publication (1);
- 5. Certificate of Mailing (1 sheet); and
- 6. Return Receipt Postcard.

Name:	Kathleen Johnson
C	Softhan Chanan





Attorney Docket No. (0320-0017) HOOV 117

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:	CERTIFICATE OF MAILING BY "EXPRESS
Michael D. Hooven) MAIL") "Express Mail" Mailing Label No.:
) <u>EL613567754US</u>
Serial No.: 10/015,868) Date of Deposit: October 12, 2004
	I hereby certify that this paper or fee is being deposited with the United States Postal Service
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Group Art No.: 3739	addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-
) 1450.
Examiner: Rosiland S. Rollins) NAME <u>Kathleen Johnson</u>
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For: TRANSMURAL ABLATION DEVICE) SIGNATURE TRANSPORTE
WITH THIN FLECTRODES	<u> </u>

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. § 1.97, Applicant hereby calls the Examiner's attention to the document listed on the attached form, which document may be material to the examination of this application. A copy of the document is enclosed herewith for the Examiner's consideration.

No inference should be drawn that any apparatus or method disclosed is equivalent to the subject invention. Also, the citation of the above-discussed document is not to be construed as an assertion that more pertinent art could not possibly be in existence. Citation of the document herein is not to be construed as an admission that any subject matter disclosed in the document

is necessarily within the inventive field of endeavor, that any disclosure is necessarily prior in time to a particular date which may be relevant to the instant patent application, and/or that any disclosure is otherwise necessarily prior art with respect to the instant invention.

Applicant also respectfully reserves the right to later set forth how the instant invention is distinguished over the disclosure of any document or other art, including the disclosure of the document discussed herein, that may be cited by the Examiner in rejecting a claim in the present patent application.

This Information Disclosure Statement is being filed after the mailing date of a first Office Action after the filing of a RCE, but before a final action or an action that closes prosecution. The cited reference was first called to Applicant's attention in an Office Action dated September 8, 2004 in related Application Number 10/328,057 filed on December 23, 2002. Accordingly, pursuant to 37 C.F.R. \S 1.97(e)(2), it is hereby stated: no item of this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the best knowledge of the person signing this certification after making a reasonable inquiry, no item of information contained in this information disclosure statement was known individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this information disclosure statement. Consequently, as provided in 37 C.F.R. § 197(c), a fee under §

1.17(p) is <u>not</u> required for the filing of this information disclosure. However, if it is determined that an additional fee is required, then authorization is hereby granted to charge Deposit Account 50-1039 any additional fee required.

Date: October 12, 2004

Respectfully submitted,

Renée C. Barthel, Esq.

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INFORMATION	DISCLOSURE

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STATEMENT BY APPLICANT
(Use as many sheets as necessary)

Complete if Known				
Application Number	10/015,868			
Filing Date	December 12, 2001			
First Named Inventor	Michael D. Hooven			
Art Unit	3739			
Examiner Name	Rosiland S. Rollins			
Attorney Docket Number	0320-0017 (HOOV 117)			

				T DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	^{US-} 6,083,150	07-04-2000	Aznoian, et al.	
		US-			
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	Γ
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T
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Examiner	Date	
Signature	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Senter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.